

Unmanned Aircraft Systems (UAS) Sensor Fusion

RESEARCH PROPOSAL

A proposal submitted in partial fulfillment of the
requirements for the degree of Master of Science in the
College of Agriculture
at the University of Kentucky

By

Christopher Bryan Good

Lexington, Kentucky

Director: Dr. Michael Sama, Professor of Biosystems & Agricultural Engineering

Lexington, Kentucky

Copyright © Christopher Bryan Good 2016

1 **TABLE OF CONTENTS**

2 ■ Abstract..... 1

3 ■ INTRODUCTION 2

4 ■ objectives..... 5

5 ■ Materials and Methods 5

6 4.1 Materials..... 5

7 4.2 Objective 1: Data acquisition system design 6

8 4.3 Objective 2: Determining UAS-LiDAR system spatial accuracy 6

9 4.3.1 Testing Plan 7

10 4.3.2 Preliminary testing..... 7

11 4.4 Objective 3: Improving spatial accuracy of low-cost sensors..... 7

12 ■ References..... 8

13 ■ Appendices..... 10

14 Appendix 2. Budget 10

15 A.1. Two year Budget 10

16 A.2. Budget Justification..... 11

17

18 ■ **ABSTRACT**

19 The objective of this study was to evaluate the feasibility of using a low-cost unmanned
20 aircraft system (UAS) deployed LiDAR in agriculture and general surveying
21 applications. A Raspberry PI microcomputer was configured to record measurements
22 from a 16-channel LiDAR using an Ethernet connection. GPS timestamps from the
23 LiDAR an UAS inertial measurement unit (IMU) were used to combine individual local
24 spatial measurements into a georeferenced three-dimensional model. Spatial data was
25 collected using the low-cost UAS deployed system and compared to ground based
26 LiDAR and photogrammetry systems.

INTRODUCTION

27
28 This research aims to evaluate the feasibility of using a low-cost UAS
29 deployed LiDAR system to better profile the variability plant density, internal
30 canopy structure, and plant height. A data acquisition system will be designed to
31 collect, process, store, and UTC time stamped data from a LiDAR system. The
32 LiDAR system currently involves continually sending information to a tablet and
33 presenting a 3D image of its surroundings. This is a stationary system which
34 cannot be used for intended future experiments with unmanned aerial vehicles, a
35 new mobile system is required. Yanbo *et al.* (2013) provides an overview of the
36 technologies, systems, benefits, problems, and methods of unmanned air
37 vehicles (UAV) systems for data collection for agricultural research. They
38 conclude that payload and battery life limit UAV systems in Agriculture. A low
39 cost light weight data acquisitions system will remove the need for a heavy tablet.
40 The system will consist of the VLP-16 sensor with interface box, a power source,
41 and a microcontroller with data storage start/stop capabilities. The LiDAR will be
42 synced with a GPS in order to obtain geo – referenced high resolution data.
43 Grenzdörffer *et al.* (2008) found that mapping fields using cameras that are not
44 synchronized to GPS lead to low levels of accuracy in agriculture.

45
46 Remote sensing in agriculture is a highly researched topic. It involves
47 technologies that detect and classify crops, weeds, and plants such as spectral
48 cameras, LiDAR, and photogrammetry. In order to differentiate crops and weeds
49 from soil certain methods are needed. The first method is to use a multispectral
50 camera and photogrammetry to create high resolution maps. Candiago *et al.*
51 (2015) describes the use of Multispectral data to calculate vegetation indices (VI)
52 to determine vegetation health of the crop with the help of photogrammetric
53 methods such as separating individual plants from earth. Ballesteros *et al.*
54 (2014b) determined the relationship between green canopy cover (GCC) and leaf
55 area index (LAI) both of which are indicators of crop growth and development.
56 High resolution images are required in order to produce high resolution maps.
57 High resolution images determine the feasibility of this low-cost system to

58 produce plant density, internal canopy structure, and plant height profiles.
59 Candiago *et al.* (2015) concluded that tomatoes provided useful information
60 obtained easily with the VI, but high spatial resolution multispectral images were
61 not obtained due to equipment availability. Ballesteros *et al.* (2014a) obtained
62 and processed geo-referenced ortho-images in order to determine the variables
63 used to characterize plant growth. Results from Ballesteros *et al.* (2014a)
64 validation of software for image processing show that the software accurately
65 modeled the green canopy cover (GCC) and has an R squared of .954 with
66 known GCC calculations.

67 High resolution images obtained from remote sensing are required to test
68 the quality of the system. But in order to obtain maps from geo-referenced
69 images mosaicked maps are created. Gómez-Candón *et al.* (2014) explored the
70 potential of generating accurate ortho-mosaicked imagery from multiple
71 overlapped frames for proper discrimination of crop rows and weeds using multi
72 spectral cameras. The geometric accuracy differences and row alignment
73 produced from overlapping UAV images were investigated at two different wheat
74 fields at three different altitudes (30m, 60m, and 100m) while also changing the
75 number of Ground Control Points (GCP) (11 to 45). Results show that errors in
76 crop row misalignment are less than twice the spatial resolution of camera image
77 and altitude and number of GCP's had no effect on accuracy. In order to
78 accurately map small weeds in wheat during early stages, UAV must fly at an
79 altitude of 30 to 100 m while using just enough GCP's to generate high spatial
80 resolution images to be used in a mosaic.

81 A LiDAR is another instrument that can attached to UAV and can be used
82 to differentiate between objects from soil. Genç *et al.* (2004) determined Wetland
83 vegetation Height with airborne LiDAR systems by comparing vegetation height
84 data with field observations along one transect. This lead to Genç *et al.* (2004)
85 directly measuring the physical vegetation attributes. Wallace *et al.* (2012)
86 developed a UAV-borne low cost, light weight LiDAR system and demonstrated
87 its capability of collecting spatially dense, accurate, and repeatable
88 measurements for forestry inventory applications. Results have confirmed the

89 UAV-LiDAR system is a suitable platform for the generation of high resolution
90 point clouds for assessing forest structure at the individual tree level.

91

92 Precise crop water stress index (CWSI) maps from UAV's attached with
93 thermal cameras are effective in determining spatial variability of water stress
94 using a pixel size of 0.3 m. Bellvert *et al.* (2014). Along with multispectral
95 cameras thermal cameras can produce water status in crops (Baluja et al.
96 (2012)). (Baluja et al. (2012) also used geospatial statistics and descriptive
97 statistics to view both spatial variability and variability between physiological
98 measurements and imaging indices such as NDVI and CWSI.

99 Both LiDAR and thermal/multispectral systems can be used together to
100 produce a more sensitive sensor system. Looking at the different applications for
101 the combined system will further be researched. Schaefer & Lamb (2016)
102 assessed the total biomass of a tall fescue pasture using a LiDAR system
103 attached to a vehicle. Canopy height, reflectance, and NDVI was measured in a
104 random plot to estimate biomass. Root mean square error of prediction resulted
105 in values for NDVI and LiDAR height of ± 846.51 kg/ha and ± 708.13 kg/ha
106 respectfully. The combined sensor system was able to more accurately predict
107 biomass values with in the pasture than liDAR or NDVI alone.

108 Being able to create high geo-spatial resolution images are important for
109 mosaicked maps; so are the mission planning and data retrieval. Valente, Sanz
110 *et al.* (2013) presents tools that can be used for mission planning of high-
111 resolution aerial images that are geo-referenced in small time frames. The
112 process used in planning missions involve defining the mission and system in
113 order to generate a pathing based on field geometry and measurement scheme
114 on a gradient-based approach. A generated image is produced and compared
115 with a GIS produced image, which revealed minor errors in the image due to
116 image calibration system. In conclusion a complete, fast, inexpensive, and
117 accurate mosaicked image was obtained using their method.

118 In conclusion the purpose of this project is to take a stationary LiDAR system
119 and transform it into a mobile system that can be used with UAV's. The LiDAR

120 data will be stored and processed into a useful data set on an USB drive. The
121 user will be able to start/stop data manually by pressing a button attached to the
122 Raspberry Pi. Feasibility and optimal applications for the LiDAR-UAV and
123 Multispectral-UAV systems will be discussed.

124

125 **OBJECTIVES**

126 The overall goal of this project is to evaluate the feasibility of using a low-
127 cost UAS deployed LiDAR system to better profile plant density, internal canopy
128 structure, and plant height. Specific objectives are:

- 129 1. Design a data acquisition system that will collect, process, store, and GPS
130 time stamp the data output from a LiDAR system.
- 131 2. Determine the spatial accuracy of the LiDAR data when deployed on a
132 UAS.
- 133 3. Investigate the use of combined LiDAR and photogrammetry methods for
134 improving spatial accuracy of low-cost sensors and for developing three-
135 dimensional vegetation indices.

136 If the low cost UAS is feasible, this would mean that a LiDAR technology can
137 be more obtainable for consumers, researchers, and businesses. Current
138 LiDAR systems are heavy, accurate, and the price is out of reach for many.
139 This system will take a low cost sensor and produce a system that can to
140 some margin produce the same results for certain applications.

141 **MATERIALS AND METHODS**

142 **4.1 Materials**

143 The following materials will be used to design a mobile data acquisition
144 system to be used for field tests. One push button switch that will be placed on
145 the Raspberry Pi 3. There are probably several different power sources that can
146 be used for this project already available. But further exploration of a new power
147 source that best suits future use with experiments involving UAV's will be
148 completed. Currently a Raspberry Pi 3 and VLP-16 sensor are available for
149 preparing the Python program.

150 **4.2 Objective 1: Data acquisition system design**

151 The VLP-16 is a LiDAR sensor that sends data through a 100 Mbps
152 Ethernet cable using the Universal Data Packet protocol (UDP) to send data
153 through a network. The UDP packet contains time of flight distance
154 measurements, reflectivity measurements, rotation angles and synchronized time
155 stamps at high resolution. The data is then sent to an interface box, where GPS
156 information can be attached to the data stream and sent to the microcontroller.
157 Instead of using a micro controller a Raspberry Pi 3 single board computer will be
158 used. It will receive the data from the LiDAR and organize it using a python
159 program in to a useful data set for further analysis. On the Raspberry Pi will be a
160 button for starting and stopping data storage. This is to ensure that only the
161 information during user interested time periods are recorded on to a USB storage
162 device. The VLP-16 and Raspberry Pi will be powered by a single power supply
163 used to also power the mission planner control system on the UAV. The power
164 supply will be lightweight and can power both for at least 15 minutes

165 . There are five steps to obtaining useful data from the LiDAR. The first step
166 is to open up communications between the pi and the LiDAR. Then receive the
167 data from the Ethernet connection. Then parse the UDP packets for desired
168 information required to reconstruct a 3D point cloud. Finally, the X, Y, and Z
169 coordinates for each point in a packet will be reconstructed into a 3D image using
170 a potential method known as simultaneous localization and mapping (SLAM 3D
171 reconstruction).

172 **4.3 Objective 2: Determining UAS-LiDAR system spatial accuracy**

173 Objective 2 will be discussed and the methods designed in the future. I am
174 not far enough in the process to determine a testing plan in order to test spatial
175 accuracy.

176 4.3.1 *Testing Plan*

177 4.3.2 *Preliminary testing*

178 **4.4 Objective 3: Improving spatial accuracy of low-cost sensors**

179 Objective 3 also will be discussed and methods designed in the future. I am
180 not far enough in the process. Obtaining further knowledge of the two systems
181 and becoming acquainted with the system will reduce the lapse in current
182 knowledge.

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

202

203

204

- 206 Ballesteros, R., Ortega, J., Hernández, D., & Moreno, M. (2014a). Applications of
 207 georeferenced high-resolution images obtained with unmanned aerial
 208 vehicles. Part I: Description of image acquisition and processing. *Precision*
 209 *Agriculture*, 15(6), 579-592. doi:10.1007/s11119-014-9355-8
- 210 Ballesteros, R., Ortega, J., Hernández, D., & Moreno, M. (2014b). Applications of
 211 georeferenced high-resolution images obtained with unmanned aerial
 212 vehicles. Part II: application to maize and onion crops of a semi-arid
 213 region in Spain. *Precision Agriculture*, 15(6), 593-614.
 214 doi:10.1007/s11119-014-9357-6
- 215 Baluja, J., Diago, M., Balda, P., Zorer, R., Meggio, F., Morales, F., & Tardaguila,
 216 J. (2012). Assessment of vineyard water status variability by thermal and
 217 multispectral imagery using an unmanned aerial vehicle (UAV). *Irrigation*
 218 *Science*, 30(6), 511-522. doi:10.1007/s00271-012-0382-9
- 219 Bellvert, J., Zarco-Tejada, P., Girona, J., & Fereres, E. (2014). Mapping crop
 220 water stress index in a 'Pinot-noir' vineyard: comparing ground
 221 measurements with thermal remote sensing imagery from an unmanned
 222 aerial vehicle. *Precision Agriculture*, 15(4), 361-376. doi:10.1007/s11119-
 223 013-9334-5
- 224 Candiago, S., Remondino, F., De Giglio, M., Dubbini, M., & Gattelli, M. (2015).
 225 Evaluating Multispectral Images and Vegetation Indices for Precision
 226 Farming Applications from UAV Images. *Remote Sensing*, 7(4), 4026-
 227 4047. doi:10.3390/rs70404026
- 228 Genç, L., Dewitt, B., & Smith, S. (2004). Determination of Wetland Vegetation
 229 Height with LIDAR. *Turkish Journal of Agriculture & Forestry*, 28(1), 63-71.
- 230 Gómez-Candón, D., Castro, A., & López-Granados, F. (2014). Assessing the
 231 accuracy of mosaics from unmanned aerial vehicle (UAV) imagery for
 232 precision agriculture purposes in wheat. *Precision Agriculture*, 15(1), 44-
 233 56. doi:10.1007/s11119-013-9335-4
- 234 Grenzdörffer, G., Engel, A., & Teichert, B. (2008). The photogrammetric potential
 235 of low-cost UAVs in forestry and agriculture. *The International Archives of*
 236 *the Photogrammetry, Remote Sensing and Spatial Information Sciences*,
 237 31(B3), 1207-1214.
- 238 Ortega-Farías, S., Ortega-Salazar, S., Poblete, T., Kilic, A., Allen, R., Poblete-
 239 Echeverría, C., . . . Sepúlveda, D. (2016). Estimation of Energy Balance
 240 Components over a Drip-Irrigated Olive Orchard Using Thermal and
 241 Multispectral Cameras Placed on a Helicopter-Based Unmanned Aerial
 242 Vehicle (UAV). *Remote Sensing*, 8(8), 1-18. doi:10.3390/rs8080638
- 243 Sauerbier, M., Siegrist, E., Eisenbeiss, H., & Demir, N. (2011). The practical
 244 application of UAV-based photogrammetry under economic aspects.
 245 *International Archives of the Photogrammetry, Remote Sensing and*
 246 *Spatial Information Sciences*, 38(1).
- 247 Schaefer, M. T., & Lamb, D. W. (2016). A Combination of Plant NDVI and LiDAR
 248 Measurements Improve the Estimation of Pasture Biomass in Tall Fescue
 249 (*Festuca arundinacea* var. Fletcher). *Remote Sensing*, 8(2), 1-10.
 250 doi:10.3390/rs8020109

251 Shi, Y., Thomasson, J. A., Murray, S. C., Pugh, N. A., Rooney, W. L., Shafian,
252 S., . . . Popescu, S. (2016). Unmanned Aerial Vehicles for High-
253 Throughput Phenotyping and Agronomic Research. *PLoS ONE*, 11(7), 1-
254 26. doi:10.1371/journal.pone.0159781

255 Valente, J., Sanz, D., Del Cerro, J., Barrientos, A., & de Frutos, M. (2013). Near-
256 optimal coverage trajectories for image mosaicing using a mini quad-rotor
257 over irregular-shaped fields. *Precision Agriculture*, 14(1), 115-132.
258 doi:10.1007/s11119-012-9287-0

259 Wallace, L., Lucieer, A., Watson, C., & Turner, D. (2012). Development of a
260 UAV-LiDAR system with application to forest inventory. *Remote Sensing*,
261 4(6), 1519-1543.

262 Yanbo, H., Thomson, S. J., Hoffmann, W. C., Yubin, L., & Fritz, B. K. (2013).
263 Development and prospect of unmanned aerial vehicle technologies for
264 agricultural production management. *International Journal of Agricultural &*
265 *Biological Engineering*, 6(3), 1-10. doi:10.3965/j.ijabe.20130603.001

266
267
268
269
270
271
272
273
274
275
276
277
278
279
280
281
282
283
284
285
286
287
288
289
290
291
292
293
294
295
296

297

■ APPENDICES

298

Appendix 2. Budget

299

A.1. Two year Budget

1. Direct Costs	Year 1	Year 2	Total
A. Salaries and Wages			
	\$16,000.0	\$16,000.0	
(1) Research Assistantship	0	0	\$32,000.00
	\$13,140.0	\$13,402.8	
(2) Advisor	0	0	\$26,542.80
(3) Temporary Employee	\$0.00	\$7,392.00	\$7,392.00
	\$29,140.0	\$36,794.8	
Total Salaries and Wages	0	0	\$65,934.80
B. Fringe Benefits			
(1) Research Assistantship	\$1,416.00	\$1,416.00	\$2,832.00
(2) Advisor	\$2,792.25	\$2,848.10	\$5,640.35
Total Fringe Benefits	\$4,208.25	\$4,264.10	\$8,472.35
C. Travel			
(1) ASABE meeting	\$1,549.00	\$1,140.00	\$2,689.00
(2) Sample collection	\$509.49	\$509.49	\$1,018.98
Total Travel	\$2,058.49	\$1,649.49	\$3,707.98
D. Materials and Supplies			
(1) NEMA 34 Stepper motor	\$139.00		\$139.00
(2) 10 A Microstepper Drive	\$275.00		\$275.00
(3) 350 w power supply	\$178.00		\$178.00
(4) 50 w Regenation Clamp	\$89.00		\$89.00
(5) 20ft Extension Cable	\$30.00		\$30.00
(6) 18 Tooth L-series Timing Belt Pulley	\$42.14		\$42.14
(7) 1/2" Width L-Series Timing Belt	\$140.40		\$140.40
(8) 1/2" Flange Ball Bearings	\$18.42		\$18.42
(9) 1" Widex 0.9" Tall Cable Carrier	\$149.16		\$149.16
(10) Cable Carrier Mounting Brackets	\$19.66		\$19.66
(11) 8020 Aluminimu Rail	\$211.38		\$211.38
(12) Alfalfa	\$10.00		\$10.00
Total Materials and Supplies	\$1,302.16	\$0.00	\$1,302.16
E. Equipment			

(1) Desktop	\$1,400.00		\$1,400.00
(2) Raspberry pi 3 Microcontroller	\$65.00		\$65.00
(3) Velodyne Lidar	\$8,000.00		
Total Equipment	\$9,465.00	\$0.00	\$1,465.00
F. Other Direct Costs			
(1) Publication costs	\$1,200.00	\$1,200.00	\$2,400.00
	\$13,892.0	\$13,956.9	
(2) Tuition and fees	0	8	\$27,848.98
	\$15,092.0	\$15,156.9	
Total Other Direct Costs	0	8	\$30,248.98
G. Modified Total Direct Costs			
	\$57,099.7	\$53,601.2	
	9	7	\$110,701.06
2. Indirect Costs			
	\$28,835.3	\$27,068.6	
	9	4	\$55,904.04
3. Total Costs			
	\$85,935.1	\$80,669.9	\$166,605.1
	8	1	0

300

301 A.2. Budget Justification

302 1. Direct Costs

303 A. Salaries and Wages

304 (1) Based on current departmental stipend of \$16000/year for a
305 first-year and second year MS Research Assistant.

306 (2) Estimated 15% contribution for two years from advisor at a
307 salary of \$87,600/year and a yearly increase of 2%.

308 (3) Working with other temporary employee for second year at
309 estimated contribution of 50% of a salary of \$10.50 an hour with
310 32hours a week 11 months a year.

311 B. Fringe Benefits

312 (1) Current University of Kentucky fringe benefit rate for graduate
313 students is 8.85%

314 (2) Current University of Kentucky fringe benefit rate for faculty is
315 21.25%

316 C. Travel

317 (1) Attendance at 2017 International Meeting of ASABE, Spokane,
318 Washington with Air fare estimated as \$825, four days' lodging
319 at \$50 per person and per diem at \$60 per day and registration
320 is \$284. Attendance at 2018 International Meeting of ASABE at
321 Detroit, Michigan with individual ground fare estimated as \$320,

- 322 four days lodging at \$70 per person and per diem at \$60 per
323 day and \$300 registration fee.
- 324 (2) 30 round trips from Barnhart to C.Oran Little Research Center at
325 30.6 miles per round trip and a standard mileage rate of 55.5
326 cents /mile.
- 327 D. Materials and Supplies
- 328 (1) - (11) Materials required for Laboratory test fixture (Linear rail
329 System).
- 330 (2) 10 pounds of Alfalfa bought at \$300/ton for testing.
- 331 E. Equipment
- 332 (1) Two Dell monitors at \$300 and Dell Optiplex 7040 CPU at \$800.
- 333 (2) Raspberry Pi 3 Microcontroller Bundle bought at \$65.
- 334 F. Other Direct Costs
- 335 (1) Estimated 12-page article to be published in *Transactions of the*
336 *ASABE* at \$100/page for both the years.
- 337 (2) Graduate out of State Tuition at \$5863.00 per semester for two
338 semesters for two years and \$2166 health insurance for first
339 year and 3% increase in health insurance for the second year.
- 340 G. Modified Total Direct Costs. As per University of Kentucky
341 guidance, calculated as Total Direct Cost less graduate tuition and
342 equipment.
- 343 2. Indirect Costs. Calculated as 50.5% of Modified Total Direct Costs as per
344 University of Kentucky Office of Sponsored Projects Administration.

345
346
347

348 **Milestones**

- 349 January 1: Probe training and calibration completed.
- 350 March 15: Data Acquisition system completed.
- 351 July 2: The spatial accuracy of the system will be determined. Ready to prepare
352 for ASABE
- 353 September 10: Method for improving the Lidar and Photogrammetry system for
354 low cost system complete.
- 355 November 26: Finished with testing and analysis, time to prepare report.